

1 Selective maternal seeding and environment shape the human gut microbiome

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19 Vertical transmission of bacteria from mother to infant at birth is postulated to initiate a
20 life-long host-microbe symbiosis, playing an important role in early infant development.
21 However, only the tracking of strictly defined unique microbial strains can clarify where
22 the intestinal bacteria come from, how long the initial colonisers persist and whether
23 colonisation by other strains from the environment can replace existing ones. Using rare
24 single nucleotide variants in faecal metagenomes of infants and their family members,
25 we show strong evidence of selective and persistent transmission of maternal strain
26 populations to the vaginally born infant, and their occasional replacement by strains
27 from the environment, including those from family members, in later childhood. Only
28 strains from the classes Actinobacteria and Bacteroidia, which are essential components
29 of the infant microbiome, are transmitted from the mother and persist for at least one
30 year. In contrast, maternal strains of Clostridia, a dominant class in the mother's gut
31 microbiome, are not observed in the infant. Caesarean-born infants show a striking lack
32 of maternal transmission at birth. After the first year, strain influx from the family
33 environment occurs, and continues even in adulthood. Fathers appear to be more
34 frequently donors of novel strains to other family members than receivers. Thus, the
35 infant gut is seeded by selected maternal bacteria, which expand to form a stable
36 community, with a rare but stable continuing strain influx over time.

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46 **Introduction**

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48 The infant's intestinal microbiota are believed to guide the development of the host
49 (Houghteling and Walker 2015 and references therein), and to be transmitted from the
50 mother at birth (Cho and Blaser 2012; Funkhouser and Bordenstein 2013; Bäckhed et al.
51 2015; Dominguez-Bello et al. 2015). Transmission of bacteria is even suggested to
52 represent a form of epigenetic inheritance (Gilbert 2014). The infant's immune system
53 develops in tight interaction with the intestinal microbiome, which guides its maturation
54 and may significantly influence the risk of immune-related diseases (Houghteling and
55 Walker 2015). The early gut colonisers are therefore thought to play a fundamental role
56 in the long-term health of the child (Houghteling and Walker 2015). However, despite
57 the consensus view of maternal inheritance of the microbiome at birth, the source of the
58 early colonisers has never been exhaustively studied. Targeted cultivation-based
59 investigations have shown that mother-infant pairs often share strains of bifidobacteria
60 and lactobacilli (Tannock et al. 1990; Matsumiya et al. 2002; Jiménez et al. 2008;
61 Albesharat et al. 2011; Makino et al. 2013; Jost et al. 2014). Large scale 16S rRNA and
62 metagenomic surveys have found that vaginally born infants often harbour species that
63 can also be detected in the mother (Bäckhed et al. 2015; Dominguez-Bello et al. 2015),
64 thus hinting at broad vertical transmission of the microbiota, but the same species can
65 also be shared by unrelated individuals. Based on the taxonomic profile of the infant
66 gut, it is clear that vaginal microbiota, consisting mostly of lactobacilli (Ravel et al.
67 2011), cannot account for the majority of infant gut species. The same is true for breast
68 milk, as the overlap with infant gut taxa is minor (Asnicar et al. 2017). The most likely
69 source of bacteria to the infant is the mother's gut, which contains most of the species
70 present in the infant gut, albeit at different relative abundances (Bäckhed et al. 2015;
71 Asnicar et al. 2017).

72

73 In order to track bacterial transmission events, high taxonomic resolution at the genomic
74 level is required (Schloissnig et al. 2013; Zhu et al. 2015; Li et al. 2016; Nayfach et al.
75 2016; Truong et al. 2017). The nucleotide variation of bacterial genomes allows high-
76 resolution fingerprinting of bacteria shared between individuals or within individuals
77 over time. However, detecting the same single nucleotide variants (SNV) in two
78 samples is not evidence of transmission as common SNVs (and common strains) can
79 prevail in the population (Yassour et al. 2016). Only the detection of rare variants
80 shared exclusively between two individuals assures true transmission. Recently, the
81 ability of tracking maternal transmission and intra-individual stability of strain
82 populations, measured as shared SNVs in metagenomics data, has been demonstrated
83 (Nayfach et al. 2016; Yassour et al. 2016; Asnicar et al. 2017). As the infant's intestinal
84 microbial composition changes considerably during the first year of life (Bäckhed et al.
85 2015) and beyond, the fate of maternal strains and the impact of family members or
86 environment in general still remain to be uncovered. Recent data suggest that some
87 postnatal colonisation from the environment may occur (Yassour et al. 2016), but it is
88 currently unknown if there is a restricted age window, during which colonisation is
89 possible. We here compiled a cohort of family members that allowed us to assess strain
90 persistence and intra-family strain transmissions at birth and later in life, identifying
91 strain transmission at different ages up to adulthood.

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93

94 **Results**

95 **Tracking strain sharing between metagenomes using rare marker SNVs**

96

97 To identify the source and persistence of bacterial strains in children and their family
98 members, we adapted genomic variation approaches (Schloissnig et al. 2013; Li et al.
99 2016) to monitor SNVs in faecal metagenomes from several European and North
100 American cohorts, totalling 695 samples from 307 individuals in 159 families with
101 children and adults of different ages, sampled at multiple time points (Supplemental
102 Table S1). The cohorts included 139 infants of whom 25 were born by Caesarean
103 section (c-section). We collected publicly available metagenomic data on Swedish,
104 Italian, and US mother-infant pairs (Bäckhed et al. 2015; Asnicar et al. 2017; Chu et al.
105 2017) and unrelated adults (Human Microbiome Project Consortium 2012; Le Chatelier
106 et al. 2013; Zeller et al. 2014) from the US, France, Denmark and Spain, and amended
107 these data sets with newly generated data from 10 German and Dutch families (of
108 European ethnicity) with children of various ages (Supplemental Table S2) to assess
109 potential transmission between family members at different stages in life. In addition,
110 we profiled publically available data of 139 unrelated US adults, followed in time over
111 one year (Human Microbiome Project Consortium 2012). The number of compared
112 pairs of individuals by relation is presented in Supplemental Table S3. Although we
113 pooled data from different cohorts, we did not find clear batch effects in the observed
114 species composition (Supplemental Fig. S1). Rather, as expected, the species
115 composition was strongly associated with age (Supplemental Fig. S1).

116

117 As it is currently not possible to fully resolve multiple individual bacterial strains using
118 shotgun metagenomic data, we considered SNV species profiles to represent strain
119 populations, whereby multiple strains of the same species may occur within individuals,
120 some of which may be shared between individuals or at different time points. Given the
121 complexity of SNV analysis in metagenomes and various sources of errors (Schloissnig
122 et al. 2013; Li et al. 2016), we used a very conservative approach to define strain
123 sharing. Namely, we only tracked rare marker SNVs (hereafter rmSNVs) that were not
124 shared with any non-family-member to avoid analysing uninformative common SNVs
125 prevalent in the population. In addition to the analysed families, we included 884 deeply
126 sequenced metagenomes of Europeans and North Americans (Human Microbiome
127 Project Consortium 2012; Le Chatelier et al. 2013; Zeller et al. 2014) as a background
128 population to exclude common variants that do not unambiguously ensure shared origin.
129 For an illustration of the definition of rmSNVs, see Supplemental Fig. S2. For each
130 pairwise sample comparison (between any two samples A and B), we defined as the
131 reference population, a sample set that excluded all samples from the donors of samples
132 A and B, and from their family members. For sample A, we identified exclusive alleles
133 (rmSNVs) that were not found in the reference population containing all samples from
134 non-family-members of the compared pair of individuals. Each comparison had a
135 different reference population, and a different set of rmSNVs. As even in this restricted
136 set, a small fraction of rmSNVs was shared by chance between pairs of unrelated
137 individuals, i.e. from other families (mean similarity, 0.2%), we required >20% rmSNV
138 sharing between compared samples as evidence of strain sharing (see Methods).

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141

142 **Maternal transmission of strains and their persistence during infant development**

143

144 Thirty-four species had high genomic coverage (>10x) in at least two family members
145 to allow rigorous statistical analysis (Supplemental Fig. S3). To track vertical strain
146 transmission at birth in vaginally delivered infants in regard to those species, we
147 compared the rmSNV profiles of the neonates to those of their mothers. In 87% of the
148 55 vaginally born neonates whose strain populations could be compared to those of their
149 mothers, we found strong evidence of maternal transmission of bacteria (Fig. 1A), as
150 indicated by high rmSNV similarity between neonates and their mothers (median 92%).
151 While the total microbiota composition of these neonates did not resemble that of their
152 mothers (mean correlation = 0.29 between mother-neonate pairs; Fig. 1B), the similarity
153 to the mother in composition increased with age as the child's microbiota matured
154 ($p < 0.0001$, Fig. 1B). As it was never significantly higher than the similarity to unrelated
155 mothers, the increase in similarity to the mothers likely reflects the changes in the gut
156 environment due to child development and diet on the microbiota composition.

157

158 After establishing colonisation with maternal strains, we studied strain persistence,
159 tracking rmSNVs within individuals over time (Fig. 2). The rmSNV similarity between
160 time points of the same individual was extremely high (median 90% similarity within a
161 year) at all ages, even in infants (Fig. 2A), despite the considerable species-level
162 compositional fluctuation observed there (Fig. 2B). This is consistent with generally
163 high strain stability within individuals observed in previous studies (Schloissnig et al.
164 2013; Yassour et al. 2016). In infants, maternal strains were very stable over the first
165 year of life, but the originally non-maternal strains were nearly always replaced by the
166 age of 12 months, as indicated by close to zero intra-individual rmSNV similarity (Fig.
167 2A). In children and adults ($n=304$), strain population similarity between time points
168 declined gradually over time, with 13% rmSNV change per year on average (Fig. 2C),
169 while the total species-level composition remained at close to 90% similarity over time
170 spans of several years (Fig. 2D).

171

172 **Selective seeding by maternal Actinobacteria and Bacteroidia**

173

174 As the maternally derived strains appeared to be more stable than the non-maternal
175 strains in the infants, we considered that different taxa might be differentially
176 transmissible. Of the 34 species analysed, 31 belong to only three bacterial classes,
177 Actinobacteria (phylum Actinobacteria), Bacteroidia (phylum Bacteroidetes), and
178 Clostridia (phylum Firmicutes), that together make up 90% of the assignable relative
179 species abundance. We therefore focus on these three classes. Adults had a considerable
180 abundance of Clostridia (mean relative abundance 34% of the total), and Bacteroidia
181 (50%) and a low abundance of Actinobacteria (4%) (Supplemental Fig. S1, S4). The
182 microbiota of infants was dominated by Actinobacteria and Bacteroidia (together
183 representing 59% of the total assignable abundance), with low abundance of Clostridia
184 (8%).

185

186 Distinct phylogenetic patterns were indeed evident in the transmissibility of strains from
187 mother to neonate (Fig. 3A, Supplemental Table S4). Strain populations from
188 Actinobacteria and Bacteroidia were nearly always shared between mother and
189 vaginally born neonate: the strain populations in 97% of the neonates with tracked
190 Actinobacteria ($n=36$) and in 93% of those with tracked Bacteroidia species ($n=29$)

191 were identified as maternal (Fig. 3A), indicating transmission during birth
192 (Supplemental Table S4). Maternal strains of Clostridia were never observed in any
193 neonate with tracked Clostridia species (n=12) in the first days of life (Fig. 3A,
194 Supplemental Table S4) albeit the abundance of Clostridia in neonates was generally
195 low (Supplemental Fig. S1, S4). Of the few other species that did not belong to these
196 three classes (Supplemental Fig. S5A, Supplemental Table S4), *Akkermansia*
197 *mucoiphila* (phylum Verrucomicrobia), and *Dialister invisus* (phylum Firmicutes) were
198 not transmitted at birth, while *Collinsella aerofaciens* was (phylum Actinobacteria,
199 close relative of Actinobacteria). Thus, although only about a half of the relative
200 abundance of the mother's intestinal bacteria seem transmissible at birth (Actinobacteria
201 and Bacteroidia together), the maternal strains of these two classes represent the vast
202 majority of abundance in the neonate, with an expansion of environmental strains of
203 Clostridia later in life.

204
205 Bacteroidia strain populations showed higher stability than Actinobacteria and
206 Clostridia ($p < 0.0001$, Fig. 3B), suggesting that they are less frequently replaced by new
207 strains. Maternal Bacteroidia were still observable after one year in 94% of the infants
208 with maternal Bacteroidia strains (n=46), compared to 81% of the infants retaining
209 traceable maternal Actinobacteria strains (n=37; Fig. 3B). Diet changes did not
210 influence the stability of maternal SNVs (Supplemental Fig. S6, $p = 0.45$). All infants
211 initially received breast milk (exclusively or supplemented with formula), and 14
212 infants later transitioned to formula feeding before solid foods by age 12 months. After
213 the age of 12 months, rmSNV similarity to the mother gradually declined, roughly
214 following the same time course as the decline in intra-individual rmSNV similarity in
215 adults (Fig. 3A, Supplemental Fig. S5A).

216
217 Clostridia strains, as well as strains from *Dialister* and *Akkermansia*, appeared to
218 colonise the infants persistently only after the first year, and even then, *Akkermansia*
219 was unstable in a subset of the individuals (Fig. 3B, Supplemental Fig. S5B). The
220 persistent colonisation by these taxa coincided with a shift to a diet containing plant
221 polysaccharides, the preferred substrate of Clostridia and *Dialister*, which likely
222 enabled their stable colonisation.

223
224 The transient non-maternal strains appeared to be partly replaced by maternal ones
225 during the first year (Fig. 1A), demonstrated by the high rmSNV similarity of the
226 originally non-maternally derived species to the maternal strains at 12 months, implying
227 post-natal colonisation by strains from the environment. We therefore further
228 investigated the potential influx of strains from the environment in later stages of life by
229 also tracking rmSNVs between father-child, sibling and spouse pairs and considering
230 them as indicators of colonization by environmental strains.

231

232 **Strain transmission between family members in adulthood**

233

234 The influence of the family environment peaked at age 2-10 years (Fig. 3C,
235 Supplemental Fig. S5C, n=12). After the age of 10 years, strain sharing was generally
236 low (Fig. 3C, Supplemental Fig. S5C, n=27), although still significantly more frequent
237 than with unrelated individuals (Fig. 4A, $p < 0.0001$), concordant with results from adult
238 twins (Rothschild et al. 2017). Strain sharing between family members was stable over
239 at least one month, indicating that the shared strains were resident microbes. All family

240 member pairs had similar levels of rmSNV sharing, apart from mother-child pairs,
241 which shared more rmSNVs, perhaps a reflection of the maternal seeding. Twins
242 generally did not have more similar rmSNV profiles than non-twin siblings (Fig. 4A).
243 An exception was Clostridia, showing higher rmSNV similarity between twins than
244 mother-child pairs, likely due to the lack of maternal seeding and the strong impact of
245 shared environment for this class (Fig. 4A). In some cases siblings had (non-
246 significantly) higher rmSNV similarity than twins, which is likely due to chance.
247 Overall the results are in line with a recent study on adult twins in which monozygotic
248 twins did not share more strains than dizygotic twins, indicating a lack of genetic
249 influence on strain sharing (Xie et al. 2016; Rothschild et al. 2017).

250
251 Another indication for the retaining of maternal strains later in life is the exclusive
252 sharing of many rmSNVs, i.e. the rmSNVs shared with mother were not observed in
253 other family members, as indicated by the exclusively shared rmSNVs (ErmSNVs) in
254 Fig. 4A. In mother-child pairs, the ErmSNVs encompassed nearly all of the shared
255 rmSNVs. This is in contrast to the shared rmSNVs between twins, siblings, father-child
256 pairs, and spouses that were often also shared with a third family member (ErmSNV
257 sharing is often much lower than the total rmSNV sharing in Fig. 4A), implying a
258 source in the shared household environment, with no evidence for direct transmission
259 from a particular person.

260
261 To assess the directionality of strain transmissions within families, we tracked the
262 emergence of novel SNVs that were not detected in previous samples of the focal
263 individual, now extending the analysis from rmSNVs to all SNVs to increase statistical
264 power. As the newly observed SNVs in one family member were indeed often present
265 in previous samples of one or several family members, we assumed strain transmission
266 between family members (Fig. 4B). Surprisingly, the father was significantly more
267 often than the mother or the children the likely donor of intra-family transmissions,
268 including transmissions between the parents and between parent and child ($p < 0.0001$),
269 and less often the recipient ($p < 0.0001$). Thus the fathers in the 10 families with at least
270 two siblings analysed appeared to bring most of the novel strains into the family
271 environment.

272 273 **C-section prevents maternal seeding**

274
275 As maternal selective seeding is likely to be affected by birth mode, we also analysed
276 25 infants and six 2-10 year olds in our cohorts that were born by caesarean section,
277 which were excluded in the prior analyses. These children showed strikingly different
278 strain transmission and persistence patterns compared to the vaginally born
279 (Supplemental Fig. S7, S8). The microbiome in the caesarean-delivered infants was
280 mostly devoid of the maternally transmitted seeding classes Actinobacteria and
281 Bacteroidia during the first months; species of Bacteroidia in particular were
282 consistently missing (Supplemental Fig. S7A), as observed in other cohorts (Bokulich et
283 al. 2016; Yassour et al. 2016). Only 6 of the 15 Caesarean-delivered neonates had any
284 species overlap with the mothers to allow SNV analysis, and we did not observe sharing
285 of a single strain (Supplemental Fig. S7B), clearly implicating vaginal birth as the main
286 transmission route. The likelihood of having maternal strains was 0.87 in the vaginally
287 born neonates and 0 in the caesarean-born (χ^2 test, $p < 0.0001$). During their first year of
288 life, the caesarean-delivered infants gradually acquired maternal Actinobacteria and

289 Bacteroidia strains, which replaced the original non-maternal ones (Supp. Fig. S7B). In
290 addition to the absence of maternal strains, the caesarean-delivered infants showed
291 higher strain flux than the vaginally born ones, particularly regarding Bacteroidia strains
292 ($p < 0.0001$, Supplemental Fig. S8).

293 Discussion

294 Taken together, our results indicate that maternal transmission of bacteria is a controlled
295 process, in which only a minor, selected part of the maternal microbiome colonises the
296 neonate and expands to form the stable seeding community of the infant microbiome.
297 The results demonstrate that colonisation of the infant gut is a selective process, rather
298 than randomly determined by the strains that the infant is exposed to. The selection
299 most likely occurs in the infant gut after initial inoculation by maternal faecal microbes,
300 and may be due to the milk-based diet, which Actinobacteria and Bacteroidia species
301 are able to utilise, thus gaining a selective advantage (Sela and Mills 2010; Marcobal et
302 al. 2011). These taxa are considered important for healthy metabolic and immunological
303 development in infants (Mazmanian et al. 2005; Korpela et al. 2017).

304
305 The high stability of the maternal strains in the infant gut demonstrates the importance
306 of maternal seeding. It was recently suggested that certain species colonise the infant
307 once and remain stable thereafter while others spread in the population, colonising each
308 individual several times (Yassour et al. 2016). Our results further this idea, identifying
309 the maternally derived strains to be stable and the non-maternal ones to be replaceable.
310 Generally, the results may indicate that different symbiotic bacterial species have
311 evolved different transmission strategies, some relying on vertical transmission at birth
312 and others on horizontal transmission in later life. Horizontal transfer via the
313 environment could be facilitated by endospore formation, which is common among
314 Clostridia.

315
316 Surprisingly, infants and children are not more commonly colonised by novel strains
317 than adults. After initial colonisation, the maternal strains persist in infants. This implies
318 that the transmitted part of the maternal gut microbiome may have a protective function,
319 preventing the influx of environmental conspecific strains, which may have a higher
320 risk of carrying unwanted properties. It is currently not clear why the maternal strains
321 are more stable than non-maternal conspecific strains. A candidate explanation is breast
322 milk, which contains maternal immunoglobulins as well as oligosaccharide structures
323 similar to those present in the maternal gut mucosa. Maternal bacterial strains may thus
324 have an advantage as they are pre-selected to be compatible with these breast milk
325 components. However, transitions to formula feeding and to solid foods during the first
326 year did not reduce the stability of the maternal strains. Evidently the bacteria,
327 especially Bacteroidia, were able to adapt to the new dietary pattern. Bacteroidia species
328 are known to have a diverse repertoire of carbohydrate-active enzymes, which gives
329 them considerable substrate flexibility (El Kaoutari et al. 2013).

330
331 Over the years, strain similarity to the mother declines, most likely due to gradual strain
332 replacement, although mutations may contribute to this, as well. Additionally, several
333 species, including members of Clostridia and *Akkermansia muciniphila* appear to
334 colonise persistently only after the first year of life and are not derived from the mother.
335 Such behaviour of late-colonizers may be explained by their high degree of

336 specialisation to the conditions in the adult gut, rendering them unable to persistently
337 colonise the neonate. Due to the frequent absence or very low abundance of Clostridia
338 in the neonates, we cannot refute occasional transmission from the mother, but the
339 consistent lack of maternal Clostridia rmSNVs in children of all ages, despite
340 commonly observed paternal and fraternal strains, makes transmission at birth highly
341 unlikely or at least extremely rare.

342
343 Our data show the dramatic effect of cesarean section on infant gut colonization: these
344 infants fail to receive maternal strains at birth and instead show a high degree of strain
345 flux in early life, comparable to the flux observed in non-transmitted species in
346 vaginally born infants. This suggests that there is initially a mismatch between the
347 bacteria and the host, which is gradually resolved as the section-born infants acquire
348 maternal strains postnatally from the environment. How the disruption of bacterial
349 transmission affects the developing immune system is currently not known. Despite the
350 low number of infants studied, these results do not support the generality of the recently
351 suggested intrauterine bacterial transmission via the placenta (Aagaard et al. 2014) or
352 the entero-mammary route of transmission (Funkhouser and Bordenstein 2013; Jost et
353 al. 2014; Rodriguez et al. 2014), as these should affect infants regardless of birth mode.
354 It remains unclear whether this early fluctuation implies an increased risk of
355 colonisation by undesired strains with respective health consequences.

356
357 While the species composition of gut microbiota has been reported to be influenced by
358 host genetics (Xie et al. 2016), our results demonstrate that the identity of the strains
359 within a species is dependent on the environment (Rothschild et al. 2017). Family
360 members are likely the most important environmental source of human gut microbes,
361 and bacterial transmission between family members occurs also in later stages of life.
362 The frequency, the constraints, and the functional consequences of strain transmission
363 between family members and from other environmental sources still need to be
364 investigated.

365

366 **Methods**

367

368 **Data**

369

370 We monitored bacterial strains in gut metagenomes of 100 Swedish mother-infant
371 pairs⁵, including 15 caesarean-delivered infants, 42 US infants 10 of which were
372 caesarean-delivered, and 5 vaginally born Italian infants. The Swedish infants had been
373 sampled during their first postnatal week, and at ages 4 and 12 months, the mothers
374 only during the infant's first week. The US infants and mothers were sampled at birth
375 and at 6 weeks. The Italian infants and mothers were sampled at birth, at 7 months, and
376 at 1 year. In addition, we sequenced metagenomes of 8 German and 2 Dutch families
377 with children of different ages, including 2 families with infants, 2 families with adult
378 children and 5 families with twins of different ages, some of which were caesarean-
379 delivered (Supplemental Table S2). As an additional adult cohort, we analysed 139
380 female HMP participants sampled at one to three time points with 6-12 month intervals.
381 As the background population, we included 884 samples from different European
382 cohorts.

383

384 We categorised the samples into 6 age groups: <1 week (“Neonate”, N=113), >1 week
385 to 6 months (“6 months”, N=139), 6 months to 2 years (“12 months”, N=105), 2-10
386 years (N=12), 10-25 years (N=60), >25 years (N=232).

387

388 Sample collection, DNA extraction, sequencing

389

390 Samples were collected fresh and immediately frozen at -20 degrees until arriving to the
391 laboratory where they were kept in long-term storage at -80 degrees. Genomic DNA
392 was extracted from frozen faecal samples as previously described (Zeller et al. 2014)
393 using a GNOME® DNA Isolation Kit (MP Biomedicals). Libraries were generated and
394 shotgun sequenced on the Illumina HiSeq 2000/2500 (Illumina, San Diego, CA, USA)
395 platform, in a paired-end sequenced setup with 100 bp read lengths at the Genomics
396 Core Facility, European Molecular Biology Laboratory.

397

398 Species abundance estimation

399

400 All samples were processed with the same computational protocol. Reads were quality
401 filtered and screened against the human genome sequence for removing contamination
402 as previously described (Zeller et al. 2014). Sequencing reads were then mapped to a
403 reference set consisting of 1753 genomes, each representative of one specI cluster
404 (Mende et al. 2013) using MOCAT (Kultima et al. 2012) with default parameters.
405 Specifically, reads were mapped at 97% identity and multiple mappers were discarded.
406 Computation of genome coverage for each specI cluster was performed using
407 qaCompute (<https://github.com/CosteaPaul/qaTools>), resulting in estimations of both
408 horizontal and vertical coverage per sample, per genome. The abundance of species was
409 estimated based on the genome coverages and transformed into relative abundances.
410 Species composition similarity between samples was calculated as Pearson correlation
411 of the log-transformed relative abundances.

412

413 SNV sharing

414

415 Determination of SNVs was performed using the metaSNP tool (available at
416 <https://git.embl.de/costea/metaSNV>) with default parameters. The number of SNVs
417 varied by species, ranging from 5 to 116915 (mean 22308) for Clostridia, 3133- 30823
418 (mean 20695) for Bacteroidetes, and 2-80287 (mean 46697) for Actinobacteria. Sharing
419 of SNVs between samples was calculated as the number of shared SNVs divided by the
420 number of positions that were detected in both samples. In cases where a species was
421 not detected in a sample (genome coverage was 0), SNV sharing of that species between
422 that sample and all other samples was set to 0.

423

424 To ensure that the shared SNVs were indeed of the same origin, the main part of
425 the study was based on marker SNVs (rmSNVs) excluding all SNVs that were shared
426 between unrelated individuals (apart from the pair of individuals being compared, even
427 if they were unrelated), as these are uninformative for strain source tracking. The
428 reference population thus contained all samples from individuals not related to the
429 donors of the pair of samples (sample A and sample B) currently being compared. For
430 sample A, we defined rmSNVs as the SNVs, which were not present in the reference
431 population (keeping in mind that the reference population excludes sample B, even if
the donor of sample B is not related to the donor of sample A). These rmSNVs were

432 then compared to the SNVs in sample B. SNV sharing was only assessed if >100
433 marker-positions were available for the compared pair of samples.

434 We initially monitored the SNVs in 108 species, but selected for detailed
435 analysis a subset of 34 based on sufficient genome coverage (>40%). To make sure the
436 observed inter-sample rmSNV similarity was not influenced by the observed number of
437 rmSNVs in the samples or the abundance of the species, we checked for correlations
438 between rmSNV sharing and rmSNV richness and species abundance. For the classes
439 Actinobacteria, Bacteroidia, and Clostridia, the observed number of rmSNVs or the
440 abundance of the species were not associated with rmSNV sharing. However, for other
441 classes, the observed rmSNV similarity was not independent of rmSNV richness or
442 species abundance, suggesting that for many cases the rmSNV similarity was
443 underestimated due to insufficient sampling, despite the high sequencing depth. We
444 therefore excluded these taxa from the analysis.

445 SNV frequencies within species showed often bimodal distributions, peaking at
446 <20% or >80%. This indicates that individuals mostly harboured one dominant strain,
447 either the one with the reference SNV or the one with the non-reference SNV. However,
448 particularly among the infants, there were also many cases with unimodal or even
449 distributions of SNV frequencies, indicating that having two or more strains
450 simultaneously was common. Therefore, we considered the possibility that <100% SNV
451 similarity could indicate the sharing of one strain, which coexisted with a non-shared
452 strain. To establish a cut-off for reliably demonstrating strain sharing (with the
453 possibility of coexisting non-shared strains), we assessed rmSNV similarities between
454 unrelated individuals. Unrelated individuals rarely shared rmSNVs. The frequency of
455 >20% similarity between unrelated pairs was 0.5% (Supplemental Fig. S9). We used
456 this conservative cut-off for defining strain sharing: rmSNV similarity <20% was
457 deemed insufficient evidence of strain sharing, resulting in 0.005 false discovery rate.

458 For more detailed analysis on intra-family strain sharing, we further tracked a
459 subset of rmSNVs that were exclusively shared between the compared pair of
460 individuals, and with no other family members. We compared the family-specific and
461 the exclusive rmSNV similarities within a pair of individuals to establish if the shared
462 rmSNVs were likely a result of direct transmission (all shared rmSNVs were shared
463 exclusively), or more likely came from a common source in the family environment
464 (shared rmSNVs were also shared with other family members).

465 To establish directionality of strain transmission, we compared novel SNVs not
466 seen in previous samples of the same individual to SNVs in previous samples of family
467 members, including all SNVs to maximise the number of novel SNVs observed. Strain
468 transmission from a family member was assumed to have occurred if novel SNVs
469 appearing in an individual were observed in previous samples of a family member.
470 Novel SNVs were rare so nove rmSNVs were too rare for robust analysis. However, in
471 a few individual we were able to trace novel rmSNVs to a family member, validating
472 the concept.

473 The number of rmSNVs compared by species and type of relation between
474 individuals is shown in Supplemental Fig. S10.

475

476 Statistical tests

477

478 Significance of group differences in SNV similarity (proportion of shared rmSNVs) was
479 assessed using beta-regression (package betareg (Cribari-Neto and Zeileis 2010) in R (R

480 Core Team 2015)). The significance of trends and group differences in compositional
481 similarity, which was normally distributed, was assessed using linear models.
482
483

484 **Data Access**

485

486 The newly generated metagenomes from this study have been submitted to the
487 European Nucleotide Archive (<http://www.ebi.ac.uk/ena>) under accession number
488 PRJEB24041.

489

490

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492

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498

499 **Disclosure declaration**

500

501 The authors declare no competing financial interests.

502

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615 content of human gut bacterial species. *Genome Biol* **16**: 1.
616
617

618 Figure Legends

619

620 Figure 1. Similarity to mother over time, of vaginally born infants (n=114), measured as
621 rmSNV similarity (A) and species composition similarity (B). Strains were classified as
622 originally maternal if rmSNV similarity to mother was >0.2 (strain sharing cut-off) in
623 the first neonatal sample available for each pair. Maternal/non-maternal strains were
624 summarised in each circle as median per individual. Even if the similarity to the mother
625 increased in later time points, the original distinction of maternal/non-maternal was kept
626 for each child-mother pair through all further time points.

627

628 Figure 2. Intra-individual similarity over time. Panels A and B show similarity to
629 previous time points at different ages (median over all time points per individual,
630 including vaginally born infants and children, and adults irrespective of birth mode,
631 N=282). Panels C and D show intra-individual similarity by time difference between
632 samples in >1 year olds (N=304).

633

634 Figure 3. Phylogenetic signal in strain transmission and stability. (A) Strain population
635 (rmSNV profile) similarity of vaginally born infants to mother. (B) Intra-individual
636 rmSNV similarity over time in vaginally born infants and children, and adults
637 regardless of birth mode. (C) Strain population (rmSNV) similarity to other family
638 members. The symbols represent age group medians \pm inter-quartile range per bacterial
639 class. The number of individuals included in each group is indicated. Expected
640 similarity decline is based on the intra-individual similarity decline in Fig. 2C.

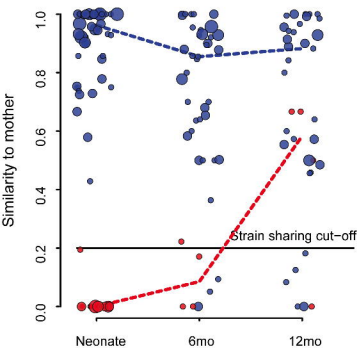
641

642 Figure 4. Strain similarity and transmissions within families. The bars indicate
643 mean \pm sd. Two sets of rare marker SNVs were defined per pair of individuals: rare
644 marker SNVs, which were allowed to be shared with other family members (marked
645 here as Family marker SNVs, FmSVs), and exclusive marker SNVs (EmSNVs), which
646 were only shared between the compared pair. The arrows indicate the cases where novel
647 SNVs emerging in the focal individual were observed in previous time points of a given
648 family member. The arrow from child to child indicates transmission between siblings.
649 The numbers on the arrows represent the proportion of identified transmission events
650 between the indicated pair of family members (number of observed transmission events
651 / total number of family member comparisons).

652

A

Strain populations



■ Maternal strains

■ Non-maternal strains

Number of species

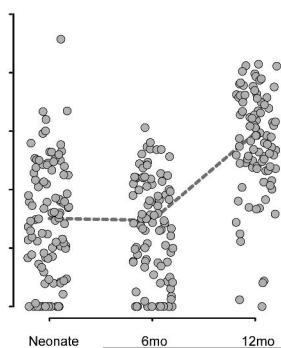
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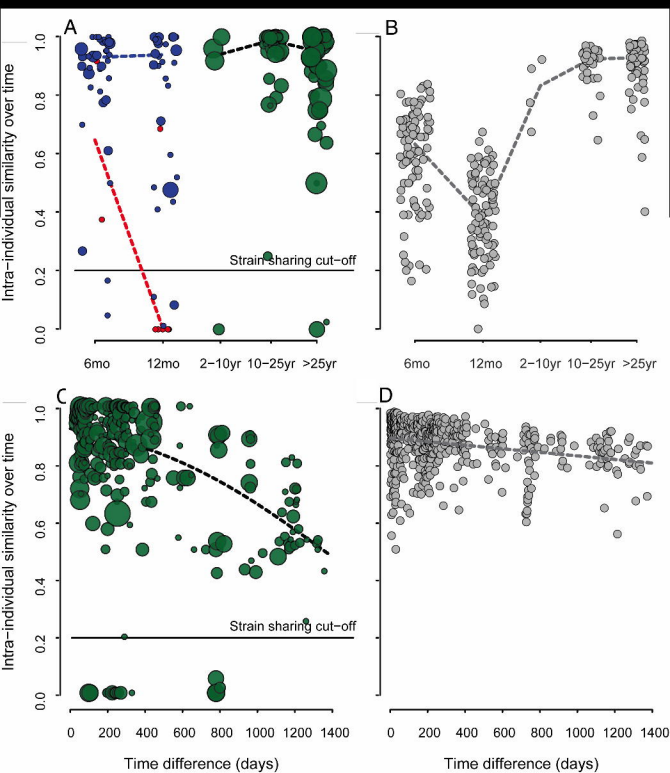
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B

Species composition

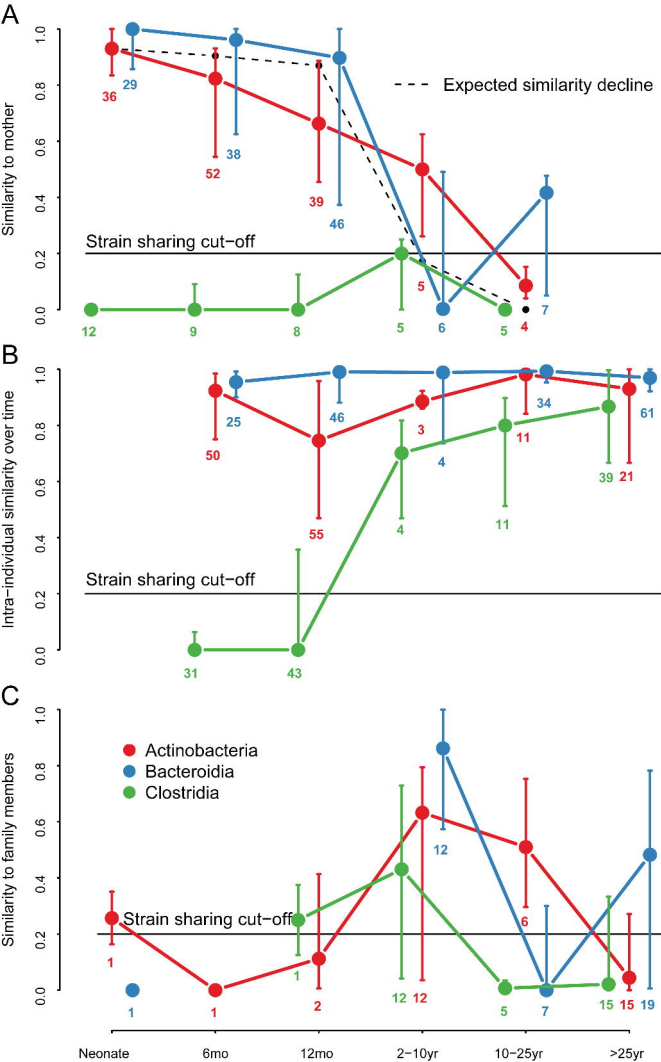


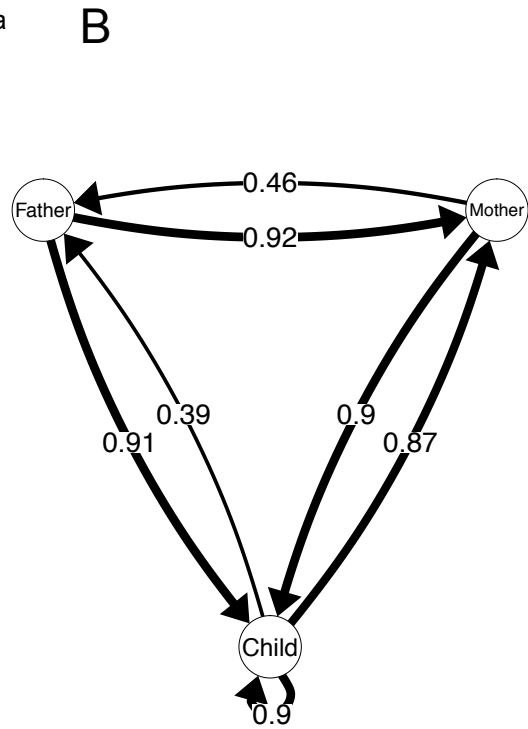
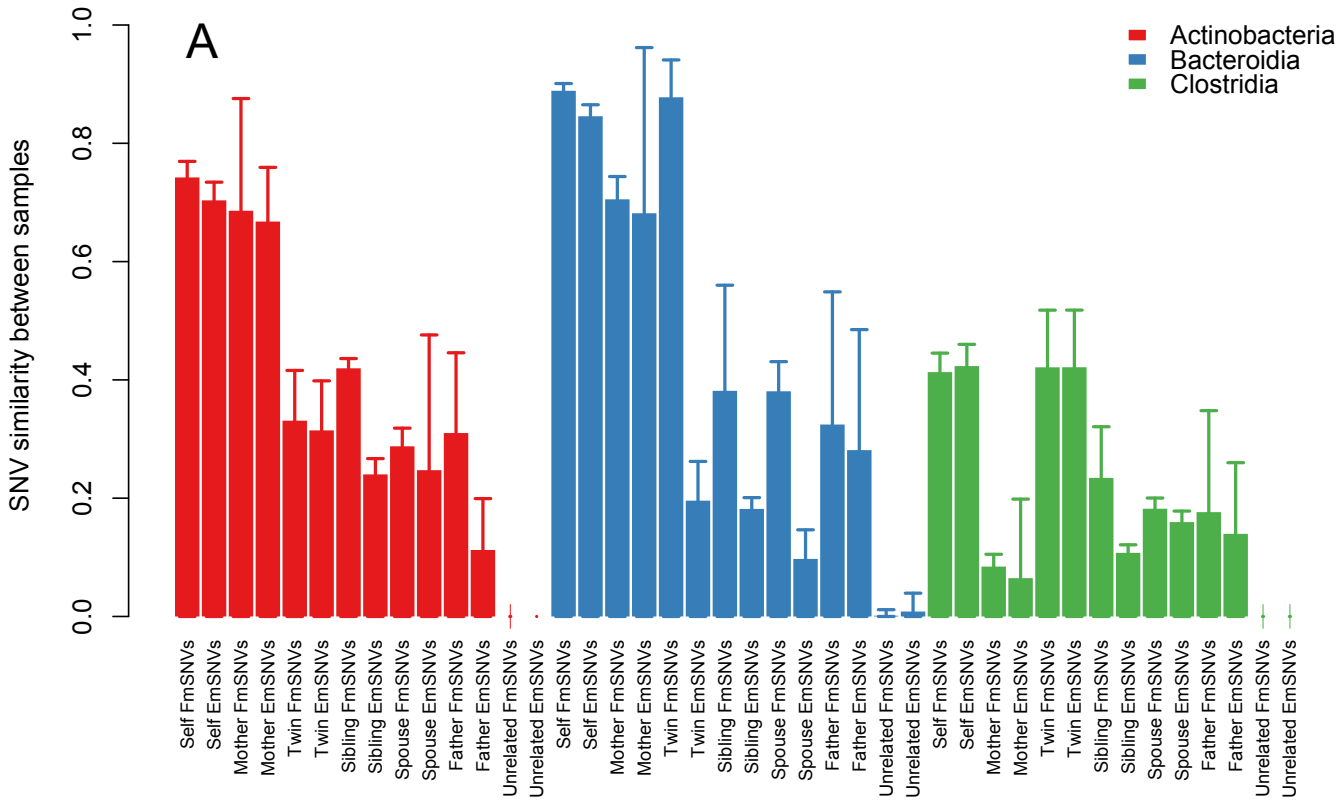


■ Maternal strains
■ Non-maternal strains
■ Strains of unknown origin

Number of species

● 1 ● 10 ● 20







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